

NOTICE OF PRIVACY PRACTICES

This page describes how medical information about you may be used and disclosed and how you can get access to this information. Please review it carefully.

PURPOSE STATEMENT

Pediatric Dental Anesthesia Associates is required by the Healthcare Insurance Portability and Accountability Act (HIPAA) to maintain the privacy of an Individual's Protected Health Information (PHI) and to provide Individuals with notice of our legal duties and privacy practices with respect to PHI. The following defines our privacy policy and practices.

OUR DUTY TO SAFEGUARD YOUR PHI

Individually identifiable information about your past, present, or future health or condition and the provision of healthcare is considered "Protected Health Information" (PHI). We must extend certain protections to your PHI, and give you this Notice about our privacy practices that explains how, when, and why we may use or disclose your PHI. We will use or disclose only the minimum necessary PHI to accomplish the intended purpose of the use or disclosure of the PHI. We are required to follow the privacy practices described in this Notice though we reserve the right to change our privacy practices and the terms of this Notice at any time. You may download a copy of the Notice from our Website at any time.

HOW WE MAY USE AND DISCLOSE YOUR PHI

We use and disclose PHI for a variety of reasons. We have a limited right to use and/or disclose your PHI for purposes of treatment, payment, and for our healthcare operations. For uses beyond that, we must have your written authorization unless the law permits or requires us to make the use or disclosure without your authorization. If we disclose your PHI to an outside entity in order for that entity to perform a function on our behalf, we must have in place an agreement from the outside entity that it will extend the same degree of privacy protection to your information that we must apply to your PHI. However, the law provides that we are permitted to make some uses/disclosures without your consent or authorization. The following describes and offers examples of our potential uses/disclosures of your PHI.

USES AND DISCLOSURES RELATING TO TREATMENT, PAYMENT, OR HEALTHCARE OPERATIONS

Generally, we may use or disclose your PHI as follows:

- **For treatment:** We may disclose your PHI to doctors, nurses, and other healthcare personnel who are involved in providing your health care. For example, your PHI will be shared among members of your healthcare team.
- **To obtain payment:** We may use/disclose your PHI in order to bill and collect payment for your healthcare services. For example, we may contact your employer to verify employment status and/or private insurer to get paid for services that we delivered to you. We may release information to collection agencies for the purpose of payment.
- **For healthcare operations:** We may use/disclose your PHI in the course of operating our Practice. For example, we may use your PHI in evaluating the quality of services provided or disclose your PHI to our accountant or attorney for audit purposes.
- **Appointment reminders:** Unless you provide us with alternative instructions, we may send appointment reminders and other similar materials to your home.

DISCLOSURE OF PHI

We do not disclose an Individual's health information to any organization or Individual, except for the purpose of treatment, payment or healthcare operations. Such as:

- Contacting the Individual to provide appointment reminders or information about treatment, treatment alternatives or other health-related benefits and services that may be of interest
- Disclosure to the Individual's referring physician or physician group for proposes of treatment
- Disclosure to a group health plan, HMO, or an insurance issuer for purpose of payment and collection
- We may use some information for internal and/or external training. In such instances, the information is de-identified, with identifying information removed (such as name, address, phone number, social security number, address, email address, medical record number, account number and other information) so that it becomes anonymous in the sense that it cannot be easily associated with the Individual.

USES AND DISCLOSURES OF PHI REQUIRING AUTHORIZATION

For uses and disclosures beyond treatment, payment, and operations purposes, we are required to have your written authorization, unless the use or disclosure falls within one of the exceptions described below. Authorizations can be revoked at any time to stop future uses/disclosures except to the extent that we may have already undertaken an action in reliance upon your authorization.

USES AND DISCLOSURES OF PHI NOT REQUIRING CONSENT OR AUTHORIZATION

The law provides that we may use/disclose your PHI from records without consent or authorization in the following circumstances:

- **When required by law:** We may disclose PHI when a law requires that we report information about suspected abuse, neglect or domestic violence, or relating to

suspected criminal activity, or in response to a court order. We also must disclose PHI to authorities that monitor compliance with these privacy requirements.

- **For public health activities:** We may disclose PHI when we are required to collect information about disease or injury, or to report vital statistics to the public health authority.
- **For health oversight activities:** We may disclose PHI to our corporate office, the protection and advocacy agency, or another agency responsible for monitoring the healthcare system for such purposes as reporting or investigation of unusual incidents and monitoring of the Medicaid program.
- **Relating to decedents:** We may disclose PHI related to a death to coroners, medical examiners, funeral directors, and organ procurement organizations relating to organ, eye, or tissue donations or transplants.
- **To avert threat to health or safety:** In order to avoid a serious threat to health or safety, we may disclose PHI as necessary to law enforcement or other persons who can reasonably prevent or lessen the threat of harm.
- **For specific government functions:** We may disclose PHI of military personnel and veterans in certain situations, to correctional facilities in certain situations, to government benefit programs relating to eligibility and enrollment, and for national security reasons, such as protection of the President.

POLICY

The only exceptions of disclosure are those sanctioned under the HIPAA regulations that include, but are not limited to:

- An Individual's personal representative; for example, a minor's parent or guardian.
- As required by the FDA or specialized government functions such as national security and intelligence, or law enforcement custodial duties.
- To judicial and law enforcement; such as, court orders or subpoena, locating or identifying suspected criminals and reporting relevant information about victims of a crime.
- If we reasonably believe the Individual is a victim of abuse, neglect or domestic violence, it will disclose PHI to the appropriate government authority.
- For a deceased Individual, disclosure to the executor of the Individual's estate, an administrator or other person authorized to act on the deceased's behalf or to a coroner or medical examiner for the purposes of identification or determining cause of death.
- For the above exceptions, we are not required to obtain the Individual's authorization. We do, however, verify the identity and authority of the person requesting access to the Individual's PHI. In addition, we document the disclosure, including date of disclosure, disclosed to, method of identity or authority verification, reason and information disclosed.
- An Individual may request PHI to be released to a designated third party. The Individual must complete and sign the PHI Authorization Release form (obtainable from the front

desk at our center or from the Chief Security Officer), designating the third party and the address to which the information is to be sent. (Duplication charges may apply.)

For any disclosure, we use reasonable efforts to only provide the minimum information necessary to accomplish the intended purpose of use.

USES AND DISCLOSURES REQUIRING YOU TO HAVE AN OPPORTUNITY TO OBJECT

In the following situations, we may disclose a limited amount of your PHI if we inform you about the disclosure in advance and you do not object, as long as the disclosure is not otherwise prohibited by law.

- **Patient Directories:** Your name, location, and general condition may be put into our patient directory for disclosures to callers or visitors who ask for you by name. Additionally, your religious affiliation may be shared with clergy.
- **To family, friends or others involved in your care:** We may share with these people information directly related to their involvement in your care or payment for your care. We also may share PHI with these people to notify them about your location, general condition, or death.

YOUR RIGHTS REGARDING YOUR PHI

You have the following rights relating to your PHI:

- **To request restrictions on uses/disclosures:** You have the right to ask that we limit how we use or disclose your PHI. We will consider your request, but are not legally bound to agree to the restriction. To the extent that we do agree to any restriction on our use/disclosure of your PHI, we will put the agreement in writing and abide by it except in emergency situations. We cannot agree to limit uses/disclosures that are required by law.
- **To choose how we contact you:** You have the right to ask that we send you information at an alternative address or by an alternate means. We must agree to your request as long as it is reasonably easy for us to do so.
- **To inspect and request a copy of your PHI:** Unless your access to your records is restricted for clear and documented treatment reasons, you have a right to see your PHI upon your written request. We will respond to your request within 30 days. If we deny your access, we will give you written reasons for the denials and explain any right to the denial reviewed. If you want copies of your PHI, a charge for copying may be imposed, depending on your circumstances. You have a right to choose what portions of your information you want copied and to have prior information on the cost of copying.
- **To request amendment of your PHI:** If you believe that there is a mistake or missing information in our record of your PHI, you may request, in writing, that we correct or add to the record. We will respond within 60 days of receiving your request. We may deny the request if we determine that the PHI is (1) correct and complete; (2) not created by

us and/or not part of our records, or; (3) not permitted to be disclosed. Any denial will state the reasons for denial and explain your rights to have the request and denial, along with any statement in response that you provide, appended to your PHI. If we approve the request for amendment, we will change the PHI and so inform you, and tell others that need to know about the change in PHI.

- **To find out what disclosures have been made:** You have a right to get a list of when, to whom, for what purpose, and what content of your PHI has been released other than instances of disclosure:
 - for treatment, payment, and operations;
 - to you, your family, or the facility directory; or
 - pursuant to your written authorization.

The list also will not include any disclosures made for national security purposes, to law enforcement officials, or disclosures made before April 2003. We will respond to your written request for such a list within 60 days of receiving it. Your request can relate to disclosures going back six years. There may be a charge for more frequent requests.

YOU HAVE THE RIGHT TO RECEIVE THIS NOTICE

You have a right to receive a paper copy of this Notice and/or an electronic copy by email upon request.

CONTACT PERSON FOR INFORMATION OR TO SUBMIT A COMPLAINT

For any complaints or additional information about our privacy practices, contact our office by calling **813-545-9924** or email at <https://pediatricsedation.com/contact-us/>.

Non-Retaliation: If an Individual believes his/her privacy rights have been violated, the Individual may complain to Practice and to the Secretary of the Department of Health and Human Services, without fear of retaliation.

Effective Date and Amendments to Notice: This Notice is effective **October 22, 2016**. PDAA reserves the right to change the terms of this Notice.

SMS Communication Policy

Mobile Opt-In, SMS consent, and phone numbers collected for SMS communication purposes will not be shared with third parties and affiliates for marketing purposes.